

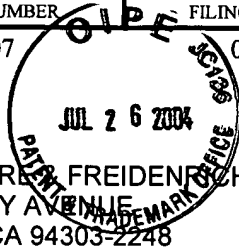


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/811,107	03/26/2004	Hironobu Fukui	2102475-992050

26379
 GRAY CARY WARREN FREIDENRICH LLP
 2000 UNIVERSITY AVENUE
 E. PALO ALTO, CA 94303-2248



CONFIRMATION NO. 9214

FORMALITIES LETTER



OC000000012908160

Date Mailed: 06/10/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

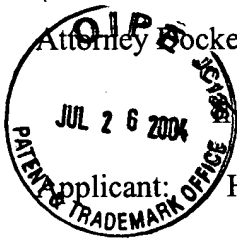
*A copy of this notice **MUST** be returned with the reply.*

N. Mohammed

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Pocket No.: 2102475-992050

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hironobu FUKUI

Serial No. 10/811,107

Group Art Unit: 2811

Filed: March 26, 2004

Examiner: Not yet assigned

Title: **METAL OXIDE SEMICONDUCTOR (MOS) TYPE SEMICONDUCTOR
DEVICE AND MANUFACTURING METHOD THEREOF**

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

July 20, 2004

Rosa A. Caviedes

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP - MISSING PARTS

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

We are in receipt of the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) mailed on June 10, 2004. On June 3, 2004, we filed a communication with the signed Declaration (copy enclosed), together with a late declaration surcharge of \$130.00. This filing provided the necessary documents and payment to complete the application. Withdrawal of the Notice to File Missing Parts is respectfully requested.


The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: July 20, 2004

By



Edward B. Weller

Reg. No. 37,468

Attorney for Applicants

Gray Cary Ware & Freidenrich LLP
2000 University Avenue
East Palo Alto, CA 94303-2248
Telephone: (650) 833-2436
Facsimile: (650) 320-7401



PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/811,107	
	Filing Date	March 26, 2004	
	First Named Inventor	Hironobu FUKUI	
	Art Unit	2811	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	2102475-992050

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): 1. Response to Notice to File Missing Parts (2 pgs.); 2. Copy of Notice to File Missing Parts (2 pgs.); 3. Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Edward B. Weller, Reg. No. 37,468
Signature	<i>Edward B. Weller</i>
Date	July 20, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Rosa A. Caviedes	
Signature	<i>Rosa A. Caviedes</i>	Date July 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Mailing Date: June 3, 2004

U.S. Serial No.: 10/811,107
Title: Metal Oxide Semiconductor (MOS) Type Semiconductor Device And
Manufacturing Method Thereof
Filing Date: March 26, 2004

Enclosed are:

- 1) Transmittal form (1 pg);
- 2) Fee Transmittal (1 pg);
- 3) Check No. 560494 \$130.00;
- 4) Executed Declaration and Power of Attorney; (2 pgs)
- 5) Return Postcard.

Suzuye 2102475-992050 (EBW/SCP-PA)

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

Complete if Known

Application Number	10/811,107
Filing Date	March 26, 2004
First Named Inventor	Hironobu Fukui
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	2102475-992050

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

07-1896

Gray Cary Ware & Freidenrich

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1) (\$)					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
1202	2202	9 - Claims in excess of 20
1201	2201	43 Independent claims in excess of 3
1203	2203	145 Multiple dependent claim, if not paid
1204	2204	43 ** Reissue independent claims over original patent
1205	2205	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770				
		2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					130.00

SUBMITTED BY

Name (Print/Type)

Edward B. Weller

Registration No.
(Attorney/Agent)

37,468

(Complete (if applicable))

Telephone 650-833-2436

Signature

Edward B. Weller

Date

June 3, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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GRAY CARY WARE & FREIDENRICH

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560494

INVOICE	DATE	AMOUNT	
06/03/04 22262-5	06-03-04	130.00	2102475-992050 Susan Pingue



VENDOR ID: cpt

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GRAY CARY WARE & FREIDENRICH

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Comerica Bank & Trust, NA


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DATE 06/03/04

AMOUNT OF CHECK
*****130.00

74-478/724

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GRAY CARY WARE & FREIDENRICH
GENERAL ACCOUNTPAY
TO THE
ORDER OFDIRECTOR OF THE U.S. PATENT
AND TRADEMARK OFFICE
Susan Pingue
VOID AFTER 180 DAYS

THE BACK OF THIS DOCUMENT CONTAINS AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

1105019110 10726017861 212698908110

RAM Fee History
Query
Revenue Accounting and Management

Name/Number: 10811107

Total Records Found: 4

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
03/31/2004	00000258	<u>1</u>	<u>1001</u>	\$770.00	03/26/2004	CK
03/31/2004	00000259	<u>1</u>	<u>1201</u>	\$86.00	03/26/2004	CK
06/10/2004	00000112	<u>1</u>	<u>1051</u>	\$130.00	06/09/2004	CK
06/10/2004	00000073	<u>1</u>	<u>8021</u>	\$40.00	06/09/2004	CK
